

# A Recipe for Constructing Two-Source Extractors\*

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## Abstract

There has been exciting recent progress on explicit constructions of two-source extractors leading to near optimal constructions. In this article, we survey key new notions and techniques that led to this progress. We pose some open problems along the way.

## 1 Introduction

Randomness is a valuable resource in computation. Randomness is used to run various Monte Carlo simulations of complex systems such as the stock market or weather prediction systems. Various randomized algorithms have been discovered that often vastly outperform known deterministic counterparts (see [MR10] for examples). Cryptography is another area that crucially relies on access to random bits, and it is known that various basic cryptographic primitives fail to be secure if the *quality* of the randomness used is poor [DOPS04]. However natural sources of randomness are typically defective. This leads to the following basic question:

*“Can we efficiently produce truly random bits given access to defective sources of randomness?”*

**Modeling a weak source** To answer the above question, of course one needs to work with a model for defective random sources. In the 1950’s, von Neumann [vN51] considered the simple model of a weak source being a stream of independent bits, each bit following a Bernoulli distribution with (an unknown) parameter  $p$ . He devised an efficient algorithm to extract near uniform bits from such weak sources. In the 1980’s, Blum [Blu86] generalized this model and studied the problem of extracting from weak sources that are generated by finite state Markov chains. Santha and Vazirani [SV86] investigated the model of weak sources as a stream of bits, where each bit brings in some fresh entropy conditioned on all the previous bits. By now, the most widely used model of a weak source is using the notion of min-entropy. This model was proposed by Chor and Goldreich [CG88] and Zuckerman [Zuc90].

**Definition 1.1.** *Let  $X$  be a distribution on some finite universe  $\Omega$ . The min-entropy of a distribution  $X$  is defined as  $H_\infty(X) = \min_{x \in \text{supp}(X)} (\log(1/\Pr[X = x]))$ , where  $\text{supp}(X) = \{x \in \Omega : \Pr[X = x] > 0\}$ .*

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Note that for a distribution  $X$  supported on  $\{0, 1\}^n$ , we have  $0 \leq H_\infty(X) \leq n$ . We define an  $(n, k)$ -source to be a distribution on  $\{0, 1\}^n$  with min-entropy at least  $k$ .

**Randomness extractors** Informally, a randomness extractor is a deterministic algorithm that produces nearly uniform bits given access to a weak random source. We measure the quality of the output of an extractor using the notion of statistical distance, defined as follows: let  $D_1, D_2$  be two distributions on some universe  $\Omega$ . The statistical distance between  $D_1$  and  $D_2$ , denoted by  $\Delta(D_1; D_2)$  is defined as  $\Delta(D_1; D_2) = \frac{1}{2} \cdot \sum_{x \in \Omega} |D_1(x) - D_2(x)|$ , where  $D_i(x)$  denotes  $\Pr[D_i = x]$ . We will use the notation  $D_1 \approx_\epsilon D_2$  to denote the fact that  $\Delta(D_1; D_2) \leq \epsilon$ .

We are now ready to define a randomness extractor for a family of distributions. Let  $U_m$  denote the uniform distribution on  $\{0, 1\}^m$ .

**Definition 1.2.** Let  $\mathcal{X}$  be a family of distributions on universe  $\{0, 1\}^n$ . A function  $\text{Ext} : \{0, 1\}^n \rightarrow \{0, 1\}^m$  is called an  $\epsilon$ -extractor for  $\mathcal{X}$  if for any distribution  $X \in \mathcal{X}$ , we have

$$\Delta(\text{Ext}(X); U_m) \leq \epsilon.$$

The parameter  $\epsilon$  is called the error of the extractor.

Given our discussion on modeling weak sources, it is natural to ask if one can design an extractor for the family of  $(n, k)$ -sources, for some  $k$ . The following folklore lemma is a strong negative result in this direction.

**Lemma 1.3.** There does not exist an  $\epsilon$ -extractor  $\text{Ext} : \{0, 1\}^n \rightarrow \{0, 1\}$  for the family of  $(n, n-1)$ -sources, for any  $\epsilon < 1/2$ .

*Proof.* Suppose there exists such an extractor  $\text{Ext} : \{0, 1\}^n \rightarrow \{0, 1\}$ . For  $b \in \{0, 1\}$ , define  $S_b = \{x \in \{0, 1\}^n : \text{Ext}(x) = b\}$  and  $X_b$  to be the source that is uniformly distributed on the set  $S_b$ . Note that at least one of  $S_0$  and  $S_1$ , say  $S_0$ , has cardinality  $\geq 2^{n-1}$ . Thus,  $H_\infty(X_0) \geq n-1$  but the support of  $\text{Ext}(X_0)$  is  $\{0\}$ , yielding the required contradiction.  $\square$

Despite the impossibility of randomness extraction in such generality, intense research has been conducted on randomness extraction in more restricted settings over the last 4 decades, leading to a beautiful and rich theory of randomness extraction. It is well beyond the scope of this article to provide an exhaustive list of research undertaken on randomness extraction. Instead we will focus on the concrete problem of extracting from the class of sources where each weak source consists of two independent weak sources. We formally define *two-source extractors* as follows.

**Definition 1.4** (Two-source extractor). A function  $2\text{Ext} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^m$  is called a  $(k, \epsilon)$ -extractor if it satisfies the following: for any two independent  $(n, k)$ -sources  $X$  and  $Y$ , we have

$$\Delta(2\text{Ext}(X, Y); U_m) \leq \epsilon.$$

A simple probabilistic argument shows the existence of 2-source extractors for min-entropy  $k = \log n + O(1)$  (setting  $m, \epsilon$  to constants). Chor and Goldreich [CG88] asked the question of explicitly constructing two-source extractors. Using Lindsey's lemma, they constructed an explicit extractor that works for min-entropy more than  $n/2$ . After nearly two decades, Bourgain [Bou05] broke the "half entropy rate barrier", using techniques from additive combinatorics, and constructed a two-source extractor for min-entropy  $(1/2 - \delta)n$ , for some tiny constant  $\delta > 0$ . Based on exponential

sum estimates of Karatsuba [Kar71, Kar91] it follows that the Paley graph extractor (introduced in [CG88]) is a two-source extractor requiring min-entropy  $C \log n$  in one of the sources and min-entropy  $(1/2 + \delta)n$  in the other source. Raz [Raz05] gave a more general construction of a two-source extractor in this unbalanced entropy setting. However, it appeared to be a significant challenge to construct a two-source extractor for min-entropy much smaller than  $n/2$  in both of the sources.

A successful line of work [BIW06, Rao09, Li11, Li13, Li15b] considered the relaxed setting of extracting with access to more than two sources. This has led to a near optimal three-source extractor that works for polylogarithmic min-entropy and has negligible error [Li15b].

The task of constructing a two-source extractor that works for min-entropy significantly smaller than  $n/2$  was achieved by Chattopadhyay and Zuckerman [CZ19], using a new framework for constructing two-source extractors that they introduced. They constructed a two-source extractor that works for  $\log^C n$  min-entropy, for some constant  $C$ . The extractor in [CZ19] outputs 1 bit and has error  $1/n^{\Omega(1)}$ . Li [Li16] soon improved the output length to  $\Omega(k)$  bits.

It remains a challenging open problem to construct a two-source extractor with error  $1/n^{\omega(1)}$  for min-entropy significantly smaller than  $n/2$  (the extractor constructions in [CG88, Bou05] achieve exponentially small error). This is indeed important for many cryptographic applications that crucially require negligible error. Recently, Lewko [Lew19] used progress in additive combinatorics to improve the entropy requirement for low-error two-source extractors to roughly  $4n/9$ , which remains the state-of-art construction in the low-error regime. Ben-Aroya et al. [BACDTS19] constructed a weaker object known as a two-source condenser that works for polylogarithmic entropy and achieves negligible error. The output of a condenser is required to be close to a high-min-entropy distribution (instead of being close to uniform).

An impressive recent line of works by several researchers [BADTS16, CL16, Coh16b, Mek17, Coh17, Li17, Li19] built on the [CZ19] framework, to lower the min-entropy requirement of the 2-source extractor in the constant error regime. The state-of art construction by Li [Li19] requires min-entropy  $C \log n (\log \log n) / \log \log \log n$ , for some constant  $C > 0$ .

**Ramsey graphs** A major motivation for the line of work focusing on constructing near optimal two-source extractors in terms of min-entropy (with constant error) is that such extractors directly imply explicit Ramsey graphs, a major open problem raised by Erdős [Erd47] in extremal combinatorics. Recall that an undirected graph on  $N$  vertices is called a  $K$ -Ramsey graph if it does not contain any independent set or clique of size  $K$ . In 1930, Ramsey [Ram30] showed that there cannot exist a  $(\log N)/2$ -Ramsey graph on  $N$  nodes. In 1947, Erdős [Erd47] used the probabilistic method to prove the existence of  $2 \log N$ -Ramsey graphs and posed it as a challenging open problem to explicitly construct such graphs.

It turns out that a  $(k, \epsilon)$ -two-source extractor  $2\text{Ext} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  with error  $\epsilon < 1/2$  implies a  $K/2$ -Ramsey graph on  $N$  nodes, where  $N = 2^n$  and  $K = 2^k$ . Thus, plugging in the two-source extractor from [Li19] implies a  $(\log N)^{o(\log \log \log N)}$ -Ramsey graph on  $N$  nodes. We refer the interested reader to [Coh19, CZ19] for more references and discussion on explicit constructions of Ramsey graphs.

**Outline** The main goal of this article is to provide an accessible introduction to the various techniques and notions that play a key role in the recent developments of two-source extractors. We use Section 2 to briefly discuss seeded extractors, a key component in all recent progress on explicit extractor constructions. In Section 3, we introduce seeded non-malleable extractors

and give detailed sketches of the new ideas that go into their recent explicit constructions. In [Section 4](#), we discuss resilient functions and their use in extracting from bit-fixing sources. Finally in [Section 5](#), we sketch the construction of the two-source extractor from [\[CZ19\]](#) that relies on the all the ingredients discussed in previous sections.

## 2 Seeded extractors

Informally, a seeded extractor uses a short independent and uniform string, called a *seed*, to extract randomness from a weak source. This notion was introduced by Nisan and Zuckerman [\[NZ96\]](#) in the context of derandomizing space bounded computation.

**Definition 2.1** (seeded extractor). *A function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is called a  $(k, \epsilon)$ -seeded extractor if the following holds: for any  $(n, k)$ -source  $X$ , we have*

$$\Delta(\text{Ext}(X, U_m); U_m) \leq \epsilon.$$

Using the probabilistic method, it is possible to show that a random function is a seeded extractor with  $d = \log(n - k) + 2 \log(1/\epsilon) + O(1)$  and  $m = k + d - 2 \log(1/\epsilon) - O(1)$ . Around three decades of research on seeded extractors have led to optimal constructions (up to constants) [\[LRVW03, GUV09, DKSS13\]](#) and some remarkable connections to other areas of theoretical computer science and mathematics [\[WZ93, Zuc96, Uma99, Tre01, MU02, Zuc06, GUV09, DW11\]](#). We refer the reader to excellent surveys of Shaltiel [\[Sha04\]](#) and Vadhan [\[Vad12\]](#) (and references therein) for more details on explicit constructions of seeded extractors and their applications.

A strengthened notion is that of a *strong seeded* extractor, which can be informally described as requiring the output of the extractor and the seed to be uncorrelated. Before formally defining this, we introduce a couple of convenient notations.

**Notation** For arbitrary random variables  $A, B, C$ , we use the notation  $\Delta((A; B)|C)$  to denote the quantity  $\Delta((A, C); (B, C))$ . For a sequence of random variables  $A_1, \dots, A_t$ , we use the notation  $\{A_i\}_{i=1}^t$  to denote the joint random variable  $(A_1, \dots, A_t)$ .

**Definition 2.2** (strong seeded extractor). *A function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is called a  $(k, \epsilon)$ -strong seeded extractor if the following holds: for any  $(n, k)$ -source  $X$ , we have*

$$\Delta((\text{Ext}(X, U_d); U_m)|U_d) \leq \epsilon.$$

Many of the above mentioned constructions yield strong seeded extractors. In particular, [\[DKSS13\]](#) constructs a strong seeded extractor that has seed length  $d = O(\log(n/\epsilon))$  and output length  $m = (1 - o(1))k$ .

**Alternate view** It is sometimes useful to view a seeded extractor as a collection of functions indexed by the seed. The following lemma presents this alternate view and reframes a strong seeded extractor in this view.

**Claim 2.3.** *Let  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(k, \epsilon)$ -strong seeded extractor. For each seed  $y \in \{0, 1\}^d$ , define the function  $h_y : \{0, 1\}^n \rightarrow \{0, 1\}^m$  as  $h_y(x) = \text{Ext}(x, y)$ . Let  $D = 2^d$ .*

*For any  $(n, k)$ -source  $X$ , there exists a subset of seeds  $S_X \subset \{0, 1\}^d$ ,  $|S_X|/D \geq 1 - \sqrt{\epsilon}$  such that for all  $y \in S_X$ , we have*

$$\Delta(h_y(X); U_m) \leq \sqrt{\epsilon}.$$

*Proof.* It follows from the definition of a strong seeded extractor that

$$\mathbb{E}_{y \sim U_d}[\Delta(h_y(X); U_m)] \leq \epsilon.$$

Thus, by a Markov argument, it follows that there exists  $S_X \subset \{0, 1\}^d$ ,  $|S_X| \geq (1 - \sqrt{\epsilon})D$  such that  $\Delta(h_y(X); U_m) \leq \sqrt{\epsilon}$  for all  $y \in S_X$ .  $\square$

### 3 Non-malleable extractors

Dodis and Wichs [DW09] introduced the notion of a non-malleable extractor motivated by applications to a well-studied problem in cryptography, known as privacy amplification [BBR88, Mau92, BBCM95, MW97]. Informally, the output of a seeded non-malleable extractor looks uniform even conditioned on its output on a “correlated seed”, where the correlated seed can be thought of as being produced by an adversary who has access to the seed. We present a more general definition of non-malleable extractors that was first studied by Cohen, Raz and Segev [CRS14].

**Definition 3.1.** *A function  $\text{Ext} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is called a  $(t, k, \epsilon)$ -non-malleable extractor if the following holds: for any  $(n, k)$ -source  $X$ , any  $t$ -tuple of functions  $(f_1, \dots, f_t)$ , where each  $f_i : \{0, 1\}^d \rightarrow \{0, 1\}^d$  has no fixed points<sup>1</sup>, we have*

$$\Delta((\text{nmExt}(X, U_d); U_m) | \{\text{nmExt}(X, f_i(U_d))\}_{i=1}^t, U_d) \leq \epsilon.$$

When the parameters  $k, \epsilon$  are clear from context, we sometimes drop these parameters from the notation and simply write  $t$ -non-malleable extractor. The case of  $t = 1$  is the standard definition of a non-malleable extractor, as introduced in [DW09]. Further note that the degenerate setting of  $t = 0$  recovers the definition of a strong seeded extractor.

Dodis and Wichs [DW09] used the probabilistic technique in a clever way to prove the existence of  $(1, n, k)$ -non-malleable extractors. This argument was extended in [BACD<sup>+</sup>18] to prove the existence of  $(t, n, k)$ -non-malleable extractors with  $k \geq (t + 1)m + 2 \log(1/\epsilon) + \log d + 4 \log t + O(1)$  and  $d \leq 2 \log(1/\epsilon) + \log(n - k) + 2 \log(t + 1) + O(1)$ .

**Alternate view** As in the case of seeded extractors, we can view non-malleable extractors as a collection of functions, indexed by the seed. We record an analogue of Claim 2.3 for non-malleable extractors that was proved in [CZ19]. Informally, it states that for any source  $X$ , there exists a large fraction of the functions in this collection that are almost  $t$ -wise independent.

**Claim 3.2.** *Let  $\text{nmExt} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  be a  $(t, k, \epsilon)$ -non-malleable extractor. For each seed  $y \in \{0, 1\}^d$ , define the function  $h_y : \{0, 1\}^n \rightarrow \{0, 1\}^m$  as  $h_y(x) = \text{nmExt}(x, y)$ .*

*For any  $(n, k)$ -source  $X$ , there exists a subset of seeds  $S_X \subset \{0, 1\}^d$ ,  $|S_X|/D \geq 1 - \sqrt{\epsilon}$  such that for all such that for any distinct  $y_1, \dots, y_t \in S_X$ , we have*

$$\Delta(\{h_{y_i}(X)\}_{i=1}^t; U_{mt}) \leq O(2^m \cdot t \cdot \sqrt{\epsilon}).$$

*Proof sketch.* Define a “bad” set of seeds as follows:

$$BAD = \{y \in \{0, 1\}^d : \exists \text{ distinct } y_1, \dots, y_t \in \{0, 1\}^d \setminus \{y\}, \Delta((h_y(X); U_m) | \{h_{y_i}(X)\}_{i=1}^t) > \sqrt{\epsilon}\}$$

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<sup>1</sup>For a function  $f : \Omega \rightarrow \Omega$ , we say that  $x \in \Omega$  is a fixed point (of  $f$ ) if  $f(x) = x$ .

The idea is to bound the size of  $BAD$  using the fact that  $\text{nmExt}$  is a  $(t, k, \epsilon)$ -non-malleable extractor. In particular, define  $t$  adversarial functions  $f_1, \dots, f_t$  as follows: for any  $y \in BAD$ , and  $i \in [t]$ , set  $f_i(y) = y_i$ . It now follows that

$$\Delta((\text{nmExt}(X, U_d); U_m) | \{\text{nmExt}(X, f_i(U_d))\}_{i=1}^t, U_d) \geq \sqrt{\epsilon} \cdot |BAD|/D,$$

and thus  $|BAD| \leq \sqrt{\epsilon}D$ . Setting  $S_X = \{0, 1\}^d \setminus BAD$ , the lemma can now be proved using standard probability lemmas. We skip the details here and refer the reader to Lemma 2.17 in [CZ19].  $\square$

### 3.1 Explicit constructions

The task of constructing non-malleable extractors seemed quite challenging even for the simple case of  $t = 1$  and  $k = 0.99n$ . The first explicit  $(t, k, \epsilon)$ -non-malleable extractor was constructed by Dodis, Li, Wooley and Zuckerman [DLWZ14]. Their construction worked for  $t = 1$ ,  $k \geq (1/2 + \delta)n$  and  $\epsilon = 2^{-\Omega(n)}$ , for any constant  $\delta > 0$ . Subsequently, Cohen, Raz and Segev [CRS14] constructed a non-malleable extractor for general  $t$  but still required  $k \geq (1/2 + \delta)n$ . For the case of  $t = 1$ , Li [Li17] improved the entropy requirement to  $k \geq (1/2 - \gamma)n$  for some tiny constant  $\gamma > 0$ .

A common feature of the non-malleable extractor constructions in [DLWZ14, CRS14, Li17] is that they are based on existing constructions of two-source extractors. For instance, Dodis et al. [DLWZ14] show that the Paley graph extractor, that was introduced in [CG88], is a non-malleable extractor. Cohen et al. [CRS14] proved that the two-source extractor constructed by Raz [Raz05] is a non-malleable extractor, and Li [Li17] constructed a non-malleable extractor by adapting Bourgain’s two-source extractor [Bou05]. The best available explicit two-source extractor at that time was due to Bourgain [Bou05] that required min-entropy rate  $\geq (1/2 - \delta)$  in each source, and hence it appeared to be a dead-end to pursue this line of attack (of proving non-malleability properties of an existing two-source extractor) to construct better non-malleable extractors.

Chattopadhyay, Goyal, and Li [CGL16] introduced a new framework (which, for the rest of this article, we call as the CGL framework) for constructing non-malleable extractors, and gave explicit  $t$ -non-malleable extractors that work for  $k \geq c \cdot t \cdot (\log(n/\epsilon))^2$ , for some constant  $c > 0$ . In particular, for  $t = 1$ , this provided the first explicit non-malleable extractor that could handle polylogarithmic min-entropy, providing an exponential improvement over prior work (described in the previous paragraph). Subsequent refinements and modifications of this framework have led to near optimal non-malleable extractors. In particular, the state-of-art explicit non-malleable extractor [Li19] works for  $k \geq t \cdot (\log \log n + \log(1/\epsilon) \cdot o(\log \log(1/\epsilon)))$ . We focus on presenting the CGL framework.

#### 3.1.1 The CGL framework for constructing non-malleable extractors

The CGL framework relies on two new pseudorandom objects that we introduce in this section. We note that [CGL16] used these pseudorandom objects implicitly, and Cohen [Coh16c] distilled the ideas of this framework and explicitly defined these objects.

**Advice correlation breakers** Informally, a correlation breaker uses some independent randomness to “destroy correlation” that may exist between a sequence of random variables. More formally, the task of a correlation breaker  $\text{CB} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  can be formalized as follows: Let  $X_1, \dots, X_t$  be a sequence of (possibly) correlated random variables, with each  $X_i$  supported on



$\{0, 1\}^n$ . Further suppose there is an  $\ell \in [t]$  such that  $X_\ell$  is “good”, i.e.,  $X_\ell$  is an  $(n, k)$ -source. Let  $Y$  be a uniform independent seed. We then require

$$\Delta((\text{CB}(X_\ell, Y); U_m) | \{\text{CB}(X_j, Y)\}_{j \in [t] \setminus \{\ell\}}, Y) < \epsilon.$$

It is not hard to see that such a function  $\text{CB}$  cannot exist in this generality, with a simple counterexample being that all the  $X_i$ 's are the same random variable. However, it turns out that one can fix this problem by additionally supplying the correlation breaker with some “advice”.

We now record a formal definition of an *advice correlation breaker*.

**Definition 3.3** (Advice correlation breaker). *A function  $\text{ACB} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\}^a \rightarrow \{0, 1\}^m$  is called a  $(t, k, \epsilon)$ -ACB if the following holds:*

- *Correlated variables: let  $\{X_i\}_{i=1}^t$  be any sequence of (possibly correlated) random variables, each supported on  $\{0, 1\}^n$ . Suppose that there exists  $\ell \in [t]$  such that  $X_\ell$  is an  $(n, k)$ -source.*
- *Independent randomness: let  $\{Y_i\}_{i=1}^t$  be another sequence of random variables such that  $Y_\ell$  is uniform (on  $\{0, 1\}^d$ ). Further suppose  $\{X_i\}_{i=1}^t$  and  $\{Y_i\}_{i=1}^t$  are independent random variables.*
- *Advice strings: let  $\alpha_1, \dots, \alpha_t \in \{0, 1\}^a$  be such that  $\alpha_\ell \neq \alpha_j$  for all  $j \in [t] \setminus \{\ell\}$ .*

Then,

$$\Delta((\text{ACB}(X_\ell, Y_\ell, \alpha_\ell); U_m) | \{\text{ACB}(X_j, Y_j, \alpha_j)\}_{j \in [t] \setminus \{\ell\}}, \{Y_i\}_{i=1}^t) < \epsilon.$$

The first construction of an advice correlation breaker was given in [CGL16], relying on a beautiful construction known as the *flip flop* construction, introduced by Cohen [Coh16a]. Indeed a crucial observation in [CGL16] was that the flip flop construction is just an advice correlation breaker that works for one bit of advice (i.e.,  $a = 1$ ). We note that techniques introduced by Li [Li13] can also be adapted to give an alternate construction of an advice correlation breaker that works for one bit of advice. We think that the flip flop construction is easier to digest, and will focus on it here.

The flip flop construction makes clever use of a powerful technique introduced by Dziembowski and Pietrzak [DP07] known as *alternating extraction*. We note that all existing constructions of advice correlation breakers rely on alternating extraction (which, as we will see below, composes seeded extractors in an interesting way). We believe it should be possible to construct such objects from more elementary techniques, and record it as an open question.

**Open Question 3.4.** *Find a construction of an advice correlation breaker, even for  $a = 1$  and  $t = 2$ , that does not rely on alternating extraction.*

We now describe the method of alternating extraction and then sketch the flip flop construction.

**Definition 3.5** (Alternating extraction). *The setup is the following:*

- *There are two parties, Quentin with access to a  $(n_q, k_q)$ -source  $X$ , and Wendy with access to a  $(n_w, k_w)$ -source  $Y$  and a seed  $S_0$  that is uniform on  $\{0, 1\}^d$ . The distributions  $X$  and  $(Y, S_0)$  are independent.*
- *Quentin and Wendy are equipped with  $(k, \epsilon)$ -strong seeded extractors  $\text{Ext}_q : \{0, 1\}^{n_q} \times \{0, 1\}^d \rightarrow \{0, 1\}^d$  and  $\text{Ext}_w : \{0, 1\}^{n_w} \times \{0, 1\}^d \rightarrow \{0, 1\}^d$  respectively.*

Given a parameter  $h$ , the alternating extraction protocol consists of the following interactive protocol between Quentin and Wendy:

- Wendy starts the interaction by sending her seed  $S_0$  to Quentin. Quentin uses the seed  $S_0$  to extract a new seed  $R_0$  from the source  $X$  using the strong seeded extractor  $\text{Ext}_q$ , i.e.,  $R_0 = \text{Ext}_q(X, S_0)$ . Quentin now sends back the seed  $R_0$  to Wendy to end this round of interaction.
- The next round starts with Wendy creating the seed  $S_1 = \text{Ext}_w(Y, R_0)$ , and the interaction continues in this way. The number of rounds of interaction is given by the parameter  $h$ .

Thus, the transcript of the communication between Quentin and Wendy is the following sequence of random variables:

$$S_0, R_0 = \text{Ext}_q(X, S_0), S_1 = \text{Ext}_w(Y, R_0), \dots, S_h = \text{Ext}_w(Y, R_{h-1}), R_h = \text{Ext}_q(X, S_h).$$

Informally, the alternating extraction protocol enjoys the property that at any point during the interaction, a newly created seed (i.e,  $S_i$  or  $R_i$ ) is close to uniform on a typical fixing of the interaction up to this point. We record this in the following claim.

**Claim 3.6.** *Assume that  $k_w, k_q \geq k + 10hd + 2\log(1/\epsilon)$ . Then, for all  $i \leq h$ , we have*

$$\Delta((R_i; U_d) | \{S_j\}_{j \leq i}, \{R_j\}_{j < i}, Y) < O(h\epsilon), \text{ and } \Delta((S_i; U_d) | \{S_j\}_{j < i}, \{R_j\}_{j < i}, X) < O(h\epsilon).$$

We only briefly sketch the main ideas here and refer the reader to Appendix E in [DW09] for a formal proof. The proof goes via induction on  $i$ . First consider the base case of  $i = 0$ . Clearly  $S_0$  is uniform and is independent of  $X$ . Thus, even conditioned on  $X$ , the random variable  $S_0$  remains uniform. Further, since  $\text{Ext}_q$  is a strong seeded extractor, it follows that for a typical fixing of  $S_0 = s_0$ , we have that  $R_0 = \text{Ext}_q(X, s_0)$  is  $\epsilon$ -close to uniform. Further note that on fixing  $S_0$ , the random variable  $R_0$  is now a deterministic function of  $X$ . Thus, we can fix  $Y$  as well without affecting the distribution of  $R_0$ . This completes the base case. The inductive step can be proved using similar arguments and we skip it here.

It turns out that the alternating extraction protocol satisfies a much stronger property. To state this, we consider the more general setup, some variant of which has been considered in various works [DW09, Li13, Li15a, Coh16a, CGL16].

- Let  $\{X_i\}_{i=1}^t, \{Y_i\}_{i=1}^t, \{S_{i,0}\}_{i=1}^t$  be sequences of random variables, each  $X_i$  on  $\{0, 1\}^{n_q}$ , each  $Y_i$  on  $\{0, 1\}^{n_w}$  and each  $S_{i,0}$  on  $\{0, 1\}^d$  such that for some  $\ell \in [t]$ ,  $X_\ell$  is an  $(n_q, k_q)$ -source,  $Y_\ell$  is an  $(n_w, k_w)$ -source and  $S_{\ell,0}$  is uniform on  $\{0, 1\}^d$ . Assume that the random variables  $\{X_i\}_{i=1}^t$  and  $(\{Y_i\}_{i=1}^t, \{S_{i,0}\}_{i=1}^t)$  are independent.
- As before, Quentin and Wendy are equipped with  $(k, \epsilon)$ -strong seeded extractors  $\text{Ext}_q : \{0, 1\}^{n_q} \times \{0, 1\}^d \rightarrow \{0, 1\}^d$  and  $\text{Ext}_w : \{0, 1\}^{n_w} \times \{0, 1\}^d \rightarrow \{0, 1\}^d$ , respectively.
- For  $i \in [t]$ , Quentin and Wendy produce the following transcript while executing the alternating extraction protocol for  $h$  rounds with access  $X_i$  and  $(Y_i, S_{i,0})$ , respectively:

$$S_{i,0}, R_{i,0} = \text{Ext}_q(X_i, S_{i,0}), S_{i,1} = \text{Ext}_w(Y_i, R_{i,0}), \dots, S_{i,h} = \text{Ext}_w(Y_i, R_{i,h-1}), R_{i,h} = \text{Ext}_q(X_i, S_{i,h}).$$



**Claim 3.7.** *Assume that  $k_w, k_q \geq k + 10htd + 2 \log(1/\epsilon)$ . Then, for all  $i \leq h$ , we have*

$$\Delta((R_{\ell,i}; U_d) | \{S_{e,j}\}_{e \in [t] \setminus \{\ell\}, j \leq i}, \{R_{e,j}\}_{e \in [t] \setminus \{\ell\}, j < i}, \{Y_j\}_{j \in [t]}) < O(h\epsilon),$$

and

$$\Delta((S_{\ell,i}; U_d) | \{R_{e,j}\}_{e \in [t] \setminus \{\ell\}, j < i}, \{S_{e,j}\}_{e \in [t] \setminus \{\ell\}, j < i}, \{X_j\}_{j \in [t]}) < O(h\epsilon).$$

The proof of the above claim uses inductive arguments that are similar to that sketched for [Claim 3.6](#). We skip the proof and refer the interested reader to Lemma 4.1 in [\[Li13\]](#) for more details.

We are now finally ready to describe the flip flop construction. Instead of directly presenting the construction, we try to motivate it in a natural way, given the above properties of alternating extraction protocols. Recall that the flip flop construction is an advice correlation breaker that uses one bit of advice. Thus, we want to construct a function  $\text{FF} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\} \rightarrow \{0, 1\}^m$  such that if:

- $\{X_i\}_{i=1}^t$  is any sequence of (possibly correlated) random variables, each supported on  $\{0, 1\}^n$  and there exists  $\ell \in [t]$  such that  $X_\ell$  is an  $(n, k)$ -source.
- $\{Y_i\}_{i=1}^t$  is another sequence of random variables such that  $Y_\ell$  is uniform (on  $\{0, 1\}^d$ ), and such that  $\{X_i\}_{i=1}^t$  and  $\{Y_i\}_{i=1}^t$  are independent random variables,
- $\alpha_1, \dots, \alpha_t \in \{0, 1\}$  are such that  $\alpha_\ell \neq \alpha_j$  for all  $j \in [t] \setminus \{\ell\}$ .

then

$$\Delta((\text{FF}(X_\ell, Y_\ell, \alpha_\ell); U_m) | \{\text{FF}(X_j, Y_j, \alpha_j)\}_{j \in [t] \setminus \{\ell\}}, \{Y_i\}_{i=1}^t) < \epsilon.$$

Here is an initial attempt to construct FF: On input  $(X_i, Y_i, \alpha_i)$ , we let  $S_{i,0}$  denote the prefix  $Y_i$  of length  $d' = d/10$ . Now let Quentin and Wendy play two rounds of alternating extraction using  $X_i$  and  $(Y_i, S_{i,0})$  respectively to produce random variables  $S_{i,0}, R_{i,0}, S_{i,1}, R_{i,1}$ . Finally, define the output of FF as  $R_{i,\alpha_i}$ .

We claim that this works in the case when  $\alpha_\ell = 1$ . Indeed note that since  $\alpha_\ell \neq \alpha_j$  for all  $j \in [t] \setminus \{\ell\}$ , it must be that  $\alpha_j = 0$ . Thus,  $\text{FF}(X_\ell, Y_\ell, \alpha_\ell) = R_{\ell,1}$  and for all  $j \in [t] \setminus \{\ell\}$ ,  $\text{FF}(X_j, Y_j, \alpha_j) = R_{j,0}$ . Thus, we arrive at the desired conclusion using [Claim 3.7](#).

However, it may be the case that  $\alpha_\ell = 0$ , in which case this construction fails to work. It turns out that this can be fixed by doing two additional rounds of alternating extraction, leading to the actual flip flop primitive.

We sketch the final construction of FF, and refer the reader to [\[Coh16a, CGL16\]](#) for more details of the proof. On input  $(X_i, Y_i, \alpha_i)$ , as described above, we produce the random variable  $R_{i,\alpha_i}$ . Now, define  $Y'_i = \text{Ext}(Y, R_{i,\alpha_i})$  for an appropriately chosen strong seeded extractor  $\text{Ext}$ , and let  $S'_{i,0}$  be the prefix of  $Y'_{i,0}$  of length  $d$ . Now Quentin and Wendy runs two more rounds of alternating extraction using  $X_i$  and  $(Y'_i, S'_{i,0})$  respectively to produce random variables  $S'_{i,0}, R'_{i,0}, S'_{i,1}, R'_{i,1}$ . Define the output of FF to be  $R'_{i,1-\alpha_i}$ .

The intuition for why this works is the following: in the case when  $\alpha_\ell = 1$ , the first two rounds of alternating extraction leads to the breaking of correlation. One can then show that additional rounds of alternating extraction does not affect this outcome. Further in the case when  $\alpha_\ell = 0$ , the final two rounds of alternating extraction leads to the desired outcome.

**Theorem 3.8.** *There exist constants  $c_1, c_2 > 0$  and an explicit  $(k, t, \epsilon)$ -advice correlation breaker  $\text{FF} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\} \rightarrow \{0, 1\}^m$  for  $k \geq c_1 t \cdot (m + \log(n/\epsilon))$  and  $d = c_2 t \cdot \log(n/\epsilon)$ .*

Recall that our goal was to construct an advice correlation breaker that works for advice strings of length  $a$ , for any integer  $a > 0$ . It was shown in [CGL16] that this can be achieved by composing the FF construction in a natural way. We now sketch this construction.

The setup is exactly as described in the case of FF, except that the advice strings  $\alpha_1, \dots, \alpha_t$  are now bit strings of length  $a$ . We want to construct  $\text{ACB} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\}^a \rightarrow \{0, 1\}^m$  such that

$$\Delta((\text{ACB}(X_\ell, Y_\ell, \alpha_\ell); U_m) | \{\text{ACB}(X_j, Y_j, s_j)\}_{j \in [t] \setminus \{\ell\}}, \{Y_i\}_{i=1}^t) < \epsilon.$$

For any string  $z$ , let  $(z)_i$  denote the  $i$ 'th bit of  $z$ . The following is a sketch of the ACB construction:

- Let  $Z_{i,1}$  be the prefix of  $Y_i$  of length  $n_1 = c' \cdot t \log(n/\epsilon)$  for some large enough constant  $c'$ . Now let  $W_{i,1} = \text{FF}(X_i, Z_{i,1}, (\alpha_i)_1)$ , where FF is the function from [Theorem 3.8](#) with output length  $m_1 = O(\log(n/\epsilon))$ .
- Using  $W_{i,1}$ , extract  $Z_{i,2} = \text{Ext}(Y_i, W_{i,1})$ , for a suitably chosen Ext with output length  $n_1$ . Now, define  $W_{i,2} = \text{FF}(X_i, Z_{i,2}, (\alpha_i)_2)$  and  $Z_{i,3} = \text{Ext}(Y_i, W_{i,2})$ . Continuing this way, we create the sequence of random variables  $\{W_{i,j}\}_{j=1}^a$ . Finally let  $\text{Ext1}(X_i, W_{i,a})$  be the output of ACB, for a suitably chosen strong seeded extractor  $\text{Ext}'$ .

We sketch some intuition for the correctness of the above construction, and refer to [CGL16] for more details. The idea is the following: since the advice string  $\alpha_\ell \neq \alpha_j$  for any  $j \in [t] \setminus \{\ell\}$ , there exists index  $f(j) \in [a]$  such that  $\alpha_\ell$  and  $\alpha_j$  differ on index  $f(j)$ . Informally, we expect any correlation between  $X_\ell$  and  $X_j$  to be broken at the  $f(j)$ 'th round of applying FF, i.e., the random variable  $W_{\ell, f(j)}$  is close to uniform for a typical fixing of  $W_{j, f(j)}$  (since FF is an advice correlation breaker that works for one bit of advice). This intuition turns out to be true, and can be formalized as follows: for  $i \in [a]$ , define  $\text{IND}_i = \{j \in [t] \setminus \{\ell\} : f(j) \leq i\}$ . Then, for any  $i \leq a$ ,

$$\Delta((W_{\ell,i}; U_{m_1}) | \{W_{i,j}\}_{j \in \text{IND}_i}, \{Y_j\}_{j \in [t]}) < O(i \cdot \epsilon).$$

Noting that  $\text{IND}_a = [t] \setminus \{\ell\}$ , the correctness of the ACB construction follows in a straightforward way from the above guarantee. By appropriate choice of parameters and seeded extractors, one obtains the following explicit advice correlation breaker.

**Theorem 3.9.** *There exist constants  $c_1, c_2 > 0$  and an explicit  $(k, t, \epsilon)$ -advice correlation breaker  $\text{ACB} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\}^a \rightarrow \{0, 1\}^m$  for  $k \geq c_1 \cdot a \cdot t \cdot (m + \log(n/\epsilon))$  and  $d = c_2 \cdot a \cdot t^2 \cdot \log(n/\epsilon)$ .*

Subsequent works [Coh16b, CL16, Coh17, Li17, Li19] further improved parameters of the above theorem. However the dependence of seed length on  $t$  is at least linear in all these constructions, and it seems to be a bottleneck in current techniques (that are based on alternating extraction). On the other hand, an application of the probabilistic method shows the existence of such advice correlation breakers with seed length that is logarithmic in  $t$ . As shown in [BACD<sup>+</sup>18], progress in this direction will have applications in constructing low-error two-source extractors—a major open question in pseudorandomness. We record this as an open problem.

**Open Question 3.10.** *Construct an explicit  $(t, k, \epsilon)$ -advice correlation breaker  $\text{ACB} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\}^a \rightarrow \{0, 1\}^m$  with  $d = o(t)$ .*

We believe that a good starting point for the above question is to make progress on [Open Question 3.4](#).

We now introduce the second pseudorandom object that plays a key role in the CGL framework. Informally, the motivation for this object is supply ACB with the necessary “advice” that it requires to function.

**Advice generators** This object can be viewed as a weakening of a non-malleable extractor. Consider the following setting: let  $X$  be an  $(n, k)$ -source and let  $Y, Y'$  be arbitrarily correlated random variables on  $\{0, 1\}^d$  such that

- $X$  and  $(Y, Y')$  are independent,
- $Y$  is uniformly distributed on  $\{0, 1\}^d$ ,
- For any  $y$ , the support of  $Y'|Y = y$  does not contain  $y$ .

A  $(1, k, \epsilon)$ -non-malleable  $\text{nmExt} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  then has the property that  $\text{nmExt}(X, Y)$  looks close to uniform on a typical fixing of  $\text{nmExt}(X, Y')$ . An advice generator  $\text{Adv} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^a$  instead requires the weaker property that for most  $\alpha \in \{0, 1\}^a$ , the support of  $\text{Adv}(X, Y)|\text{Adv}(X, Y') = \alpha$  does not contain  $\alpha$ .

Given the above (informal) definition, it is trivial to construct an advice generator if we allow  $a \geq d$ . Indeed, one can just set to output of  $\text{Adv}(x, y) = y$ . Thus, we are interested in achieving output length  $a$  that is much smaller than  $d$ .

We now define advice generators more generally and then sketch a construction from [\[CGL16\]](#).

**Definition 3.11.** *A function  $\text{Adv} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  is called a  $(t, k, \epsilon)$ -advice generator if the following holds:*

- Let  $\{X_i\}_{i \in [t]}$ ,  $\{Y_i\}_{i \in [t]}$  be two sequences of random variables on  $\{0, 1\}^n$  and  $\{0, 1\}^d$  respectively.
- Suppose for some  $\ell \in [t]$ ,  $X_\ell$  is an  $(n, k)$ -source and  $Y_\ell$  is uniform on  $\{0, 1\}^d$ .
- Suppose for any  $y_\ell \in \{0, 1\}^d$ , and any  $j \in [t] \setminus \{\ell\}$ , the support of  $Y_j|Y_\ell = y_\ell$  does not contain  $y_\ell$ .

Then, with probability at least  $1 - \epsilon$  over fixing  $\{\text{Adv}(X_i, Y_i)\}_{i \in [t] \setminus \{\ell\}}$ ,  $\{Y_i\}_{i \in [t]}$ , we have, for any  $i \in [t] \setminus \{\ell\}$ ,

$$\text{Adv}(X_\ell, Y_\ell) \neq \text{Adv}(X_i, Y_i).$$

The main idea in [\[CGL16\]](#) for constructing an advice generator  $\text{Adv}$  is quite simple, and involves the following two steps: On input  $X_i, Y_i$ ,

1. encode  $Y_i$  to  $Y'_i$  using a good error correcting code (i.e., constant rate and distance), and
2. sample a small subset of coordinates in  $Y'_i$  using  $X$ .

Since by assumption we have that  $Y_\ell \neq Y_j$  for any  $j \in [t] \setminus \{\ell\}$ , Step 1 ensures that the Hamming distance between  $Y'_\ell$  and  $Y'_j$  is large. Thus, even sampling a small set of coordinates in Step 2 ensures that with high probability we sample a coordinate on which  $Y'_\ell$  and  $Y'_j$  are distinct.

It turns out that for using advice generators to construct non-malleable extractors in the CGL framework, one needs the additional property that  $X_\ell$  contains enough min-entropy even conditioned on a typical fixing of  $\{\text{Adv}(X_i, Y_i)\}_{i \in [t]}$ ,  $\{Y_i\}_{i \in [t]}$ . This leads to some additional subtlety in executing Step 2 and we refer the interested reader to the actual construction in [CGL16] for more details.

We record the parameters achieved by the construction in [CGL16].

**Theorem 3.12.** *There exist constants  $c_1, c_2 > 0$  and an explicit  $(k, t, \epsilon)$ -advice generator  $\text{Adv} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^a$  with  $k \geq c_1 t \log(n/\epsilon)$ ,  $d = c_2 t \log(n/\epsilon)$ , and  $a = O(\log(n/\epsilon))$ .*

Subsequent work of Cohen [Coh16b] improved the advice length  $a$  to  $O(\log(1/\epsilon))$  for the case of  $t = O(1)$ , which is optimal up to constants.

**Explicit non-malleable extractors** We are now ready to present the CGL framework [CGL16] for constructing a  $(t, k, \epsilon)$ -non-malleable extractor  $\text{nmExt} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$ . We use the following ingredients:

- Let  $\text{ACB} : \{0, 1\}^n \times \{0, 1\}^d \times \{0, 1\}^a \rightarrow \{0, 1\}^m$  be a  $(t+1, k/2, \epsilon)$ -advice correlation breaker
- Let  $\text{Adv} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^a$  be an  $(t+1, k, \epsilon)$ -advice generator.

Define

$$\text{nmExt}(X, Y) = \text{ACB}(X, Y, \text{Adv}(X, Y)).$$

Assume  $X$  is an  $(n, k)$ -source and  $Y$  is a uniform independent seed of length  $d$ . Further, for  $i \in [t]$ , let  $f_i : \{0, 1\}^d \rightarrow \{0, 1\}^d$  be a tampering function with no fixed points.

We want to prove that

$$\Delta((\text{nmExt}(X, Y); U_m) | \{\text{nmExt}(X, f_i(Y))\}_{i=1}^t, Y) < C \cdot \epsilon,$$

for some constant  $C > 0$ .

For ease of notation, define  $Y_i = f_i(Y)$ . Note that by assumption,  $Y \neq Y_i$  for any  $i \in [t]$ . Thus, using the fact that  $\text{Adv}$  is a  $(t+1, k, \epsilon)$ -advice generator, we have that with probability  $1 - O(\epsilon)$  over fixing  $\{\text{Adv}(X, Y_i)\}_{i \in [t]}$ , it holds that for any  $i \in [t]$ ,  $\text{Adv}(X, Y) \neq \text{Adv}(X, Y_i)$ . We also require the stronger property of  $\text{Adv}$  that with probability  $1 - O(\epsilon)$  over fixing  $(\text{Adv}(X, Y), \{\text{Adv}(X, Y_i)\}_{i \in [t]})$ ,

- $X$  remains independent of  $Y, \{Y_i\}_{i \in [t]}$ , and
- $X$  is  $O(\epsilon)$ -close to a distribution with min-entropy at least  $k/2$  and  $Y$  is  $O(\epsilon)$ -close to a distribution with min-entropy  $d(1 - o(1))$ .

It turns out the construction of  $\text{Adv}$  in [CGL16] (see Theorem 3.12) indeed satisfies the above properties assuming  $k > c_1 a t$  and  $d = c_2 a t^2$ , for large enough constants  $c_1, c_2 > 0$ . Thus, assume a fixing of  $\text{Adv}(X, Y) = \alpha$  and  $\{\text{Adv}(X, Y_i) = \alpha_i\}_{i \in [t]}$  such that for all  $i \in [t]$ , we have  $\alpha \neq \alpha_i$ . The proof now follows almost directly from the fact that  $\text{ACB}$  is an advice correlation breaker.

The attentive reader may notice the following problem: for the  $\text{ACB}$  to work, we require  $Y$  to be uniform, but we are only guaranteed that it has min-entropy rate  $1 - o(1)$ . It turns out that this is not much of a problem, and one can get around this by paying a small price in the error of the  $\text{ACB}$ . We refer to [CGL16] for more details of the proof.

By composing the advice correlation breaker and advice generator constructed in [CGL16], they obtained the following theorem.

**Theorem 3.13.** *There exist constants  $c_1, c_2 > 0$  and an explicit  $(t, k, \epsilon)$ -non-malleable extractor  $\text{nmExt} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}^m$  for  $k \geq c_1 t(m + \log(n/\epsilon))$  and  $d = c_2 t^2 \log^2(n/\epsilon)$ .*

Subsequent work [Coh16b, CL16, Coh17, Li17, Li19] gave better constructions of advice correlation breakers and advice generators, with the state-of-art construction [Li19] yielding a  $(t, k, \epsilon)$ -non-malleable extractor for  $k \geq c_1 t(m + \log \log(n) + \log(1/\epsilon) \cdot o(\log \log(1/\epsilon)))$  and  $d = c_2 t^2(\log \log(n) + \log(1/\epsilon) \cdot o(\log \log(1/\epsilon)))$ .

Similar to the case of advice correlation breakers, we do not have explicit  $t$ -non-malleable extractors with seed length with sublinear dependence on  $t$ . Non-explicitly it is known that logarithmic dependence on  $t$  suffice [BACD<sup>+</sup>18], while it appears to be a fundamental bottleneck of techniques that are based on alternating extraction to break the linear barrier. It was proved in [BACD<sup>+</sup>18] that progress in this direction will lead to better low-error two-source extractors.

## 4 Resilient functions and bit-fixing extractors

An important ingredient in the construction of two-source extractors in [CZ19] is the seemingly unrelated notion of a resilient function, which arises in distributed computing [BOL85]. Informally, a  $(q, \delta)$ -resilient function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  has the property that no subset of coordinates of size at most  $q$  can “influence” the outcome of the function by more than  $\delta$ . The influence of a subset of coordinates  $S$  (on  $f$ ) is defined to be the probability that on randomly fixing values of coordinates outside  $S$ , the value of the function  $f$  is still undetermined (and thus the coordinates in  $S$  determine the outcome of  $f$ ).

We record more general definitions of influence and resilient functions. First, we recall that a distribution  $X$  on  $\{0, 1\}^n$  is called  $(t, \gamma)$ -wise independent if for any set  $S \subset [n]$ ,  $|S| = t$ , we have  $\Delta(\{X_i\}_{i \in S}, U_t) \leq \gamma$ , where  $X_i$  denotes the  $i$ 'th bit of  $X$ . Such distributions are referred to as almost  $t$ -wise independent distributions.

**Definition 4.1.** *Let  $I_{Q,D}(f)$  denote the probability that  $f$  is undetermined when the variables outside  $Q$  are set by sampling from the distribution  $D$ . Now, define  $I_{Q,t,\gamma}(f) = \max_{D \in D_{t,\gamma}} I_{Q,D}(f)$ , where  $D_{t,\gamma}$  denotes the family of all  $(t, \gamma)$ -wise independent distributions. Finally, define  $I_{q,t,\gamma}(f)$  as the maximum value of  $I_{Q,t,\gamma}(f)$  over all subsets of coordinates  $Q$  of size  $q$ .*

When  $\gamma = 0$ , we simply drop  $\gamma$  from the notations and use  $I_{Q,t}(f)$  and  $I_{q,t}$ . Further, when  $t = n$ , (i.e., all the bits outside  $Q$  are uniform and independent), we drop the parameter  $t$  and use the notations  $I_Q(f)$  and  $I_q(f)$ .

**Definition 4.2.** *A function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is  $(q, t, \gamma, \epsilon)$ -resilient if  $I_{q,t,\gamma}(f) \leq \epsilon$ . Similarly,  $f$  is  $(q, t, \epsilon)$ -resilient if  $I_{q,t}(f) \leq \epsilon$  and is  $(q, \epsilon)$ -resilient if  $I_q(f) \leq \epsilon$ .*

We record a useful claim that lets us bound  $I_{Q,t,\gamma}$  from a bound on  $I_{Q,t}$ . This follows from a result in [AGM03] which states that every almost  $t$ -wise independent distribution is close to some  $t$ -wise independent distribution.

**Claim 4.3.** *Suppose that  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is  $(q, t, \epsilon)$ -resilient function. Then, for any  $\gamma > 0$ ,  $f$  is a  $(q, t, \gamma, \epsilon + \gamma \cdot n^t)$ -resilient function.*

**Explicit resilient functions** The usual setting in which resilient functions are studied in distributed computing assume that the “good bits” (i.e., bits outside  $Q$ ) are completely uniform and independent. Thus, the most well studied notion is that of  $(q, \epsilon)$ -resilient functions. It is known that the MAJORITY function (which we will denote by  $\text{Maj}$ ) is a  $(q, O(q/\sqrt{n}))$ -resilient function. It turns out that there are much better resilient functions than  $\text{Maj}$ . Ajtai and Linial [AL93] gave a probabilistic construction of Boolean functions that are  $(q, O(q \cdot (\log n)^2/n))$ -resilient, for all  $q \leq n/\log^2 n$ . (In fact, their construction is a distribution over constant depth circuits.) This is close to optimal, since by a result on Boolean functions [KKL89] it is known that for any for any Boolean function  $f$ , there exists a set of coordinates  $Q$  of size  $cn/\log n$  with  $I_Q(f) = \Omega(1)$ .

However much less was known about  $(q, t, \epsilon)$ -resilient functions. Viola [Vio14] proved that  $\text{Maj}$  is a  $(q, t, O(\frac{q}{\sqrt{n}} + \frac{\log t}{t}))$ -resilient function for any  $t$ . Chattopadhyay and Zuckerman [CZ19] derandomized the probabilistic construction of Ajtai and Linial [AL93] to obtain the following result.

**Theorem 4.4.** *There exist constants  $C, \delta > 0$  and an explicit function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  that is  $(q, \log^C n, O(q/n^{1-\delta}))$ -resilient. Further,  $f$  is a monotone constant depth circuit and its bias is  $1/n^{\Omega(1)}$ .*

The above theorem also relied on the breakthrough result of Braverman [Bra10] that polylogarithmic independence fools constant depth circuits. Subsequently Meka [Mek17] improved the above result to exactly match the bound obtained in [AL93].

**Non-oblivious bit-fixing sources** The primary motivation for obtaining explicit resilient functions in [CZ19] was that they were trying to extract from non-oblivious bit-fixing (NOBF) sources. Informally, these are distributions which have hidden random coordinates, and the remaining coordinates arbitrarily depend on the values of the random coordinates. We define this more formally.

**Definition 4.5** (NOBF sources). *A distribution  $V$  on  $\{0, 1\}^\ell$  is called a  $(q, t, \gamma)$ -NOBF source if there exists a subset  $S \subset [\ell]$ ,  $|S| \geq q$  such that for any  $T \subset S$ ,  $|T| = t$ , we have  $\Delta(\{V_i\}_{i \in T}, U_t) \leq \gamma$ , where  $V_i$  is the  $i$ 'th bit of  $V$ .*

It turns out that (almost) unbiased  $(q, t, \gamma, \epsilon)$ -resilient functions are extractors for  $(q, t, \gamma)$ -NOBF sources. The intuition for this is that no set of coordinates of cardinality  $\leq q$  are influential, and thus the set of “bad” coordinates in the NOBF source cannot bias the resilient function by a lot. The following claim formalizes this.

**Lemma 4.6.** *Let  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  be a Boolean function that is  $(q, t, \gamma, \epsilon_1, \epsilon_2)$ -resilient. Further suppose that for any  $(t, \gamma)$ -wise independent distribution  $\mathcal{D}$ ,  $|\mathbb{E}_{\mathbf{x} \sim \mathcal{D}}[f(\mathbf{x})] - \frac{1}{2}| \leq \epsilon_2$ . Then  $f$  is an extractor for  $(q, t, \gamma)$ -NOBF sources with error  $\epsilon_1 + \epsilon_2$ .*

We skip the proof here and refer to Lemma 2.9 in [CZ19] for more details.

## 5 An explicit two-source extractor

In this section we sketch the two-source extractor construction of Chattopadhyay and Zuckerman [CZ19] using ingredients developed in the previous sections. We recall their main result.



**Theorem 5.1.** *There exists a constant  $C > 0$  and an explicit  $(k, \epsilon)$ -two-source extractor  $2\text{Ext} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  for  $k \geq \log^C n$  and  $\epsilon = 1/n^{\Omega(1)}$ .*

Let  $X, Y$  be independent  $(n, k)$ -sources. Let  $\text{nmExt} : \{0, 1\}^n \times \{0, 1\}^d \rightarrow \{0, 1\}$  be an explicit  $(t, k, \epsilon_1)$ -non-malleable extractor (e.g., the explicit construction from [Theorem 3.13](#) or any of the subsequently improved constructions). Let  $D = 2^d$ . The first idea is to use  $\text{nmExt}$  on  $X$  by “brute forcing” over all the seeds of the non-malleable extractor, i.e., define  $Z_w = \text{nmExt}(X, w)$  for  $w \in \{0, 1\}^d$ . It follows from the alternate view of non-malleable extractors ([Claim 3.2](#)) that there exists  $S_X \subset \{0, 1\}^d$ ,  $|S_X| \geq (1 - \sqrt{\epsilon_1})D$  such that for any  $T \subset S_X$ ,  $|T| = t$ , we have

$$\Delta(\{Z_w : w \in T\}, U_t) \leq O(t\sqrt{\epsilon_1}).$$

Define  $Z$  to be the concatenation of all the  $Z_w$ 's. It follows from the above discussion that  $Z$  is a  $(\sqrt{\epsilon_1} \cdot D, t, O(t\sqrt{\epsilon_1}))$ -NOBF source (see [Definition 4.5](#)) on  $\{0, 1\}^D$ . Thus, a natural idea is to use an appropriate  $(q, t, \epsilon_1)$ -resilient function, which as recorded in [Lemma 4.6](#), is exactly an extractor for NOBF sources. This almost works except for the following problem: recall that by [Claim 4.3](#), a  $(q, t, \epsilon_1)$ -resilient function  $f : \{0, 1\}^D \rightarrow \{0, 1\}$  is a  $(q, t, \gamma, \gamma D^t + \epsilon_1)$ -resilient function for any  $\gamma > 0$ . Hence if the bias of  $f$  is bounded by  $\epsilon_1$ , then by [Lemma 4.6](#)  $f$  can extract (one bit) from  $Z$  with error  $\gamma D^t + 2\epsilon_1$ , where  $\gamma = O(t\sqrt{\epsilon_1})$ . All this is fine, except that  $D = 2^d$ , where  $d$  is the seed length of  $\text{nmExt}$  and hence grows with  $\epsilon_1$ . In fact, it can be shown that through known existing lower bounds on the seed length of non-malleable extractors, the term  $\gamma D^t$  is always larger than 1.

It is in fact reassuring that the above does not work since then we would have constructed a 1-source extractor! This is where the second source  $Y$  comes in. The idea is to use  $Y$  to sample a small set (with cardinality that is polynomial in  $n$ ) of pseudorandom coordinates in  $Z$ . This can be accomplished using standard techniques introduced by Zuckerman [[Zuc97](#)] of sampling using weak sources. We skip the details of the sampling step here and refer the interested reader to [[CZ19](#)].

As a result of this sampling, we obtain a new source  $Z'$  that is a  $(\epsilon' D_1, t, \gamma = O(t\sqrt{\epsilon_1}))$ -NOBF source on  $D_1 = \text{poly}(n)$  bits, where  $\epsilon'$  is still of the same order as  $\epsilon_1$ . Thus, now we can control the term  $\gamma D_1^t$  since  $D_1$  is now disentangled from the error parameter of  $\text{nmExt}$  (i.e.,  $\epsilon_1$ ). By appropriate choice of parameters one obtains that  $Z'$  is a  $(D_1^{1-\eta}, t = \text{poly}(\log n), 1/D_1^{t+2})$ -NOBF, for some small  $\eta > 0$ . Thus plugging in the explicit resilient function from [Theorem 4.4](#), we obtain [Theorem 5.1](#). This completes the sketch of the construction.

It can be shown that using the sampling technique from [[Zuc97](#)], the constant  $\eta$  in the above paragraph is smaller than  $1/2$ . Thus one cannot use Maj as the resilient function in this construction, and has to rely on the derandomization of the Ajtai-Linial function. Subsequent work of Ben-Aroya, Doron and Ta-Shma [[BADTS16](#)] improved on the framework of constructing two-source extractors described here and indeed uses the Maj function as their resilient function. Using Maj as the resilient function has the advantage that the parameter  $t$  can even be set to a constant (where as in [[CZ19](#)], it is required that  $t = \text{poly}(\log n)$ ). This added flexibility has been a crucial ingredient in the recent line of work, described in the introduction, of obtaining two-source extractors with near optimal parameters in the constant error regime.

We conclude by recording a couple of natural open questions. We would consider any progress on these questions to be very interesting.

**Open Question 5.2.** *Construct an explicit  $(o(n), 1/n^{\omega(1)})$ -two-source extractor.*

Recall that currently the best known explicit constructions of negligible error two-source constructions [[Bou05](#), [Lew19](#)] require min-entropy close to  $n/2$ . In fact these extractor constructions

are much simpler (though the analysis is based on sophisticated techniques from additive combinatorics) compared to the construction in [CZ19] and follow-up works. The following question is posed in hope of continuing the spirit of exhibiting extraction properties of functions that are simple to describe.

**Open Question 5.3.** *Give a simpler construction of a two-source extractor (even for constant error) that works for entropy  $0.1n$ .*

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